



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
www.uspto.gov

BIB DATA SHEET

CONFIRMATION NO. 6575

SERIAL NUMBER	FILING or 371(c) DATE RULE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.
10/655,409		705	3696	

APPLICANTS

Timothy M. Keiser, Los Angeles, CA;
 Michael R. Burns, Los Angeles, CA;

**** CONTINUING DATA *******

This application is a CON of 09/465,607 12/17/1999
 which is a CON of 09/184,571 11/02/1998 PAT 6,505,174
 which is a CIP of 08/620,906 03/25/1996 PAT 5,950,176

**** FOREIGN APPLICATIONS *******

**** IF REQUIRED, FOREIGN FILING LICENSE GRANTED *** SMALL ENTITY ****
 11/28/2003

Foreign Priority claimed	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Met after Allowance	STATE OR COUNTRY	SHEETS DRAWINGS	TOTAL CLAIMS	INDEPENDENT CLAIMS
35 USC 119(a-d) conditions met	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
Verified and Acknowledged	/CLEMENT B GRAHAM/ Examiner's Signature	cg Initials	CA	4	77 86	6 4

ADDRESS

TIMOTHY M. KEISER
 1322 WELLESLEY AVENUE, #5
 LOS ANGELES, CA 90025
 UNITED STATES

TITLE

Computer-implemented securities trading system with a virtual specialist function

FILING FEE RECEIVED 1014	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit

CGRAHAM 1/19/09